

# Journal Pre-proof

Rapid and direct discovery of functional tumor specific neoantigens by high resolution mass spectrometry and novel algorithm prediction

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PII: S2772-8927(25)00025-2

DOI: <https://doi.org/10.1016/j.cellin.2025.100251>

Reference: CELLIN 100251

To appear in: *Cell Insight*

Received Date: 6 November 2024

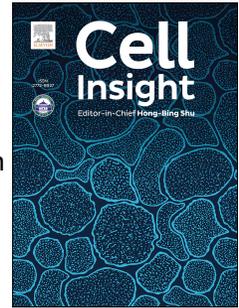
Revised Date: 1 May 2025

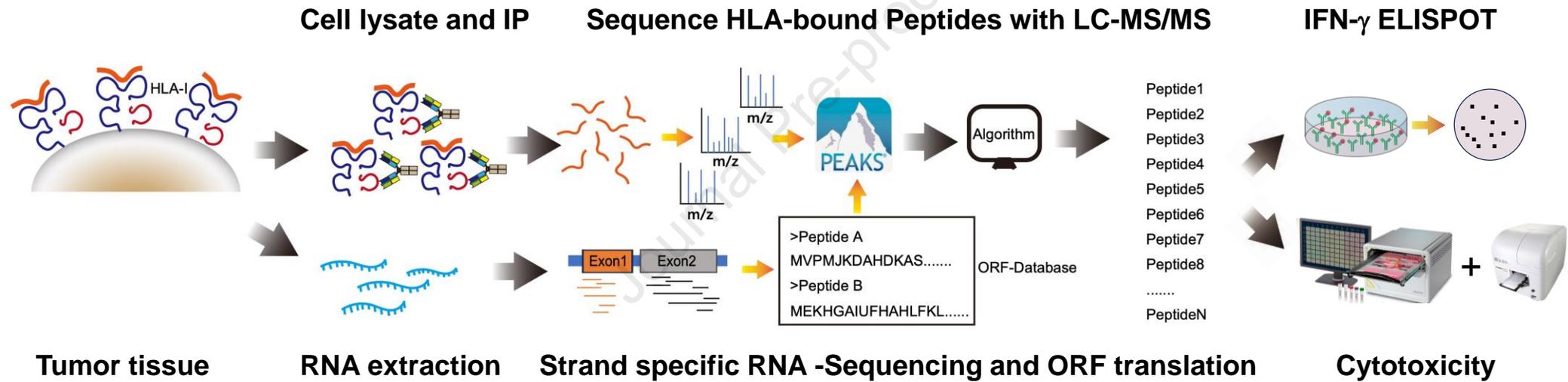
Accepted Date: 1 May 2025

Please cite this article as: Tian, H., Li, G., Chiu, C.K.C., Li, E, Chen, Y., Zhu, T., Hu, M., Wang, Y., Wen, S., Li, J., Luo, S., Chen, Z., Zeng, H., Zheng, N., Wang, J., Shen, W., Kang, X., Rapid and direct discovery of functional tumor specific neoantigens by high resolution mass spectrometry and novel algorithm prediction, *Cell Insight*, <https://doi.org/10.1016/j.cellin.2025.100251>.

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1 **Rapid and direct discovery of functional tumor specific neoantigens by high**  
2 **resolution mass spectrometry and novel algorithm prediction**

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**Abstract**

37

38 While immune cell therapies have transformed cancer treatment, achieving comparable success  
39 in solid tumors remains a significant challenge compared to hematologic malignancies like  
40 non-Hodgkin lymphoma (NHL) and multiple myeloma (MM). Over the past four decades,  
41 various immunotherapeutic strategies, including tumor vaccines, tumor-infiltrating  
42 lymphocyte (TIL) therapies, and T-cell receptor (TCR) therapies, have demonstrated clinical  
43 efficacy in select solid tumors, suggesting potential advantages over CAR-T and CAR-NK cell  
44 therapies in specific contexts. The dynamic nature of the cancer-immunity cycle, characterized  
45 by the continuous evolution of tumor-specific neoantigens, enables tumors to evade immune  
46 surveillance. This highlights the urgent need for rapid and accurate identification of functional  
47 tumor neoantigens to inform the design of personalized tumor vaccines. These vaccines can be  
48 based on mRNA, dendritic cells (DCs), or synthetic peptides. In this study, we established a  
49 novel platform integrating immunoprecipitation with mass spectrometry (IP-MS) for efficient  
50 and direct identification of tumor-specific neoantigen peptides. By combining this approach  
51 with our proprietary AI-based prediction algorithm and high-throughput *in vitro* functional  
52 validation, we can generate patient-specific neoantigen candidates within six weeks,  
53 accelerating personalized tumor vaccine development.

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## 65 Introduction

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67 Cancer remains a leading cause of mortality worldwide. Despite advancements such as CAR-  
68 T cell therapy and therapeutic antibodies, these treatments exhibit efficacy only in specific  
69 cancer types(Miao et al., 2024, Vera et al., 2024). These therapies typically target Tumor-  
70 Associated Antigens (TAAs) expressed on the cell membrane surface, activating cytotoxic  
71 effector cells either directly or via antibody-dependent cellular cytotoxicity (ADCC)(Guan et  
72 al., 2024). However, TAAs often show the antigen density, presents a quantitative rather than  
73 qualitative difference between tumor and normal cells, leading to "on-target, off-tumor" side  
74 effects, which can be toxic(Dharani et al., 2024). In contrast, Tumor-Specific Antigens (TSAs),  
75 derived from mutated tumor proteins and processed into 8-12 amino acid peptides  
76 (neoantigens), offer a more selective approach. These neoantigens are presented on the cell  
77 surface by the Major Histocompatibility Complex (MHC), known as HLA in humans  
78 (Abdollahi et al., 2024, Janelle et al., 2020), forming a peptide-MHC complex (pMHC) that  
79 can trigger specific T cell immune responses through T Cell Receptor (TCR)  
80 engagement(Smith et al., 2015). This pMHC-TCR interaction forms the basis for tumor  
81 vaccines, a promising therapeutic modality(Buonaguro and Tagliamonte, 2023, Nguyen et al.,  
82 2016).

83

84 Tumor vaccines, with a history spanning over 25 years, have generally progressed through  
85 three main stages of development: pure or modified peptides, Dendritic Cell (DC) vaccines,  
86 and mRNA vaccines. Early clinical trials utilized synthesized tumor neoantigen peptides to  
87 treat patients with metastatic melanoma(Rosenberg et al., 1998). Over the past decade,  
88 advances in tumor vaccines have accelerated, paralleling progress in other immunotherapies.  
89 Loading neoantigens onto DCs, or engineering DCs to present neoantigens, has become an  
90 increasingly adopted strategy(Ding et al., 2021, Lesterhuis et al., 2011). In 2010, Sipuleucel-T  
91 (Provenge®), the first DC vaccine, was approved for the treatment of prostate cancer (Borno  
92 et al., 2020, Sartor et al., 2020), leading to the registration of hundreds of clinical studies.  
93 Concurrently, the widespread adoption of Next Generation Sequencing (NGS) has facilitated

94 the expansion of neoantigen identification for diverse indications. For instance, researchers  
95 reported the discovery of 26 significant gene mutations through Whole-Exome Sequencing  
96 (WES), subsequently screening the KRAS (G12D) mutation and observing tumor regression  
97 following re-infusion of TIL cells stimulated by these neoantigens(Tran et al., 2016, Tran et al.,  
98 2014).

99

100 mRNA tumor vaccines also utilize WES to sequence patient tumor samples and predict the  
101 interaction between MHC-I epitopes and potential neoantigens. Subsequently, mRNA loaded  
102 with these predicted neoantigens is administered to patients, resulting in observed therapeutic  
103 efficacies(Lang et al., 2022, Sahin et al., 2017). This technology was the foundation for  
104 BioNTech, which gained global recognition for its COVID-19 mRNA vaccine. Beyond viral  
105 mRNA vaccines, BioNTech has advanced three tumor vaccine pipelines with personalized  
106 neoantigens into phase II trials, while Moderna has three clinical phase III pipelines(Kopetz et  
107 al., 2022, Weber et al., 2024).

108

109 Next-Generation Sequencing (NGS) currently remains the predominant technique in tumor  
110 vaccine development. By comparing transcriptome sequences from patient derived normal and  
111 tumor tissues, researchers identify mutated gene sequences within tumor tissue and perform  
112 comprehensive analyses to predict potential tumor neoantigens(Karasaki et al., 2017, Peng et  
113 al., 2019). However, these candidates are predicted from DNA/RNA sequences, rather than  
114 directly from the antigenic peptides (8-12mers) presented by MHC molecules. Consequently,  
115 regardless of whether mutations are point mutations or frameshift mutations, the precise start  
116 and end points of these neoantigens cannot be accurately predicted. Although AI is increasingly  
117 being leveraged to enhance NGS-based prediction, direct discovery of *bona fide* neoantigens  
118 is considered more reliable. Furthermore, the same TSA cannot guarantee the generation of  
119 identical neoantigens in the same patient across different time points(Ford et al., 2018,  
120 Sharpnack et al., 2022). Therefore, a significant need exists for the rapid and accurate  
121 identification of authentic tumor-specific neoantigens.

122

123 Recently, Immunoprecipitation-Mass Spectrometry (IP-MS) has emerged as a method for

124 identifying tumor-specific neoantigen sequences, representing authentic peptides eluted from  
125 the pMHC complex(Huang et al., 2024, Pak et al., 2021, Sturm et al., 2021). In this study, we  
126 enhanced the IP-MS method by integrating RNA-seq to calibrate potential errors arising from  
127 MS *de novo* sequencing. Furthermore, our collaborator developed a novel algorithm that  
128 yielded divergent prediction results compared to netMHCpan4.1, and these results were  
129 subsequently validated through functional experiments. This collaborative approach enriches  
130 the research process and leads to more innovative outcomes.

131

## 132 **Result**

### 133 **Advancing Tumor-Specific Neoantigen Discovery**

134 Neoantigen-based tumor vaccines have shown promise over the past two decades. The  
135 conventional approach for personalized tumor neoantigen screening uses DNA/RNA next-  
136 generation sequencing (NGS), which translates nucleotide sequences into amino acid  
137 sequences to predict potential neoantigen combinations(Ding et al., 2021, Rojas et al., 2023).  
138 Although artificial intelligence improves these predictions, it still falls short of directly  
139 identifying authentic neoantigen peptides. Immunoprecipitation-mass spectrometry (IP-MS)  
140 has recently emerged as a method for identifying tumor neoantigens(Huang et al., 2024, Pak  
141 et al., 2021, Sturm et al., 2021), often used with algorithms like netMHCpan4.1 for  
142 neoantigen scoring (Fig. 1A). Given the co-evolution of tumors and the immune system,  
143 driven by the cancer-immunity cycle, the most effective neoantigens must be personalized for  
144 each patient and can change over time(Mellman et al., 2023). Even within the same patient.  
145 Rapid and accurate identification of functional tumor neoantigens is, therefore, crucial for  
146 optimizing therapeutic windows and maximizing efficacy in treating relapsed and refractory  
147 (R/R) cancers.

148 Because NGS methods do not directly provide peptide information displayed in pMHC  
149 complexes, we chose the IP-MS method. We improved the IP-MS approach by integrating  
150 RNA-seq data to correct potential errors from MS *de novo* sequencing. Furthermore, our  
151 collaborator Dr. Yuzong Chen developed a novel algorithm (details to be published

152 separately), which provides different prediction results compared to netMHCpan4.1 (Fig.  
153 1B). This platform has been biochemically validated, tested with tumor cell lines, and  
154 analyzed with clinical samples (data not shown). In this study, we describe the technical  
155 aspects of this platform using a tumor cell line and its xenograft model.

### 156 **Immunoprecipitation of pMHC complexes for LC-MS/MS analysis**

157 To identify presented peptides, we performed pMHC-immunoprecipitation followed by mass  
158 spectrometry (MS) on a xenograft tumor sample. To simulate *in vivo* tumor growth, the MIA  
159 PaCa-2 cell line was subcutaneously injected into SCID-beige mice. Once tumors reached a  
160 volume of 1000 mm<sup>3</sup>, they were harvested and lysed to extract proteins. These proteins were  
161 then immunoprecipitated using the W6/32 antibody, which was validated for quality control  
162 before immunoprecipitation (Fig. 2A-B). To confirm the antibody's ability to enrich the  
163 pMHC complex under defined biochemical conditions, we expressed the HLA heavy chain  
164 A2H (HLA-A2) and the HLA light chain  $\beta$ 2M in *E. coli*. The purified A2H,  $\beta$ 2M, and a  
165 synthesized peptide were then refolded into the pMHC complex (Fig. S1) following  
166 established protocols. The results demonstrated that W6/32 successfully immunoprecipitated  
167 the pMHC complex, as evidenced by a sharp, clear band for A2H, while the  $\beta$ 2M band  
168 appeared condensed with nonspecific bands at the bottom (Fig. 2C).

169 Furthermore, W6/32 was used to enrich the pMHC complex from MIA PaCa-2 xenograft  
170 tumor lysate, and the results indicated successful precipitation of the pMHC complex (Fig.  
171 2D). The eluted peptides were subsequently ultrafiltered and analyzed by LC-MS/MS.  
172 PEAKS Online was used to analyze the MS raw data, with the principles of the PEAKS  
173 Online workflow and Data-Dependent Acquisition (DDA) analysis detailed in Fig. 3A-B.

### 174 **Calibrating peptide sequences with RNA-seq and ORF library**

175 Although IP-MS can directly identify tumor neoantigens, rigorous validation is essential.  
176 Peptide *de novo* sequencing relies on tandem mass spectrometry to calculate the mass of  
177 amino acid residues on the peptide backbone based on the mass difference between two  
178 fragment ions. During this process, precursor ions of neoantigens are isolated in the

179 quadrupole and fragmented via collision-induced dissociation with nitrogen gas, producing b-  
180 and y- ions. Sufficient fragmentation, indicated by high cleavage coverage along the  
181 neoantigen peptide sequence, provides critical information for the *de novo* sequencing  
182 algorithm. In our analysis, incomplete peptide fragmentation during mass spectrometry (MS)  
183 can lead to ambiguous sequence assignments (Fig. 3C). For example, a fragmentation pattern  
184 with 87.5% sequence coverage (7 out of 8 possible cleavage sites identified) suggested that a  
185 neoantigen sequence could be either "PLVDTHKSR" or "PLVDTHSKR." This uncertainty,  
186 arising from incomplete fragmentation, can introduce errors in *de novo* assembly. To address  
187 this, we created a peptide database of open reading frame (ORF) translations based on RNA-  
188 seq data, termed ORF-DB. This peptide library was generated through ORF translation (Fig.  
189 S2), using ORF-DB as a reference to assemble RNA-seq reads into amino acid sequences for  
190 MS analysis. To ensure accuracy, RNA-seq results underwent stringent quality control,  
191 achieving an overall alignment rate of 94.69%. This process yielded 14,390 genes, 33,676  
192 transcripts, and 22,875 that matched the reference genome (Table S1-1#). In total, we  
193 identified 18,211 single-nucleotide polymorphisms (SNPs) and 1,567 insertions/deletions  
194 (indels) in the sample (Table S1-2#). On average, there were 3,228 synonymous and 2,070  
195 non-synonymous mutations within the coding region (Table S1-3#). Overall, 1,480 mutated  
196 genes were identified among the 2,070 non-synonymous mutations (Table S2).

### 197 **Neoantigen identification in MIA PaCa-2 xenograft tumors**

198 The software module PEAKS Online was used to analyze the MS raw data for neoantigen  
199 identification via database searching and *de novo* sequencing. For database searching, we  
200 used the widely accepted proteomics reference library, UniProt (human, Swiss-Prot).  
201 Matching the MS results with the UniProt database identified 1,869 peptides, designated  
202 IP(UniProt) (Table S3). Following our established workflow, we also aligned the MS results  
203 with our ORF-DB, yielding 1,526 peptides (designated IP(ORF-DB), Table S4). Analysis  
204 indicated that most identified peptides were between 8 and 12 amino acids in length, with 9-  
205 mer peptides being the most abundant. Prediction results showed that peptides binding to  
206 HLA-A\*24:02 were predominantly 9-mer and 10-mer peptides (Fig. 3D). To validate the

207 accuracy of IP(ORF-DB), we compared the overlap between IP(UniProt) and IP(ORF-DB).  
208 Using a CAA threshold ( $CAA\% > 0$ ), we obtained 614 peptides of 8-12 amino acids from  
209 IP(UniProt) and 748 peptides of the same length from IP(ORF-DB). This comparison  
210 revealed 442 overlapping peptides (Fig. 3E left, Table S6), indicating high feasibility of the  
211 results.

212 Next, we aimed to validate the accuracy of the peptides identified through *de novo*  
213 sequencing (Table S5). Comparing the *de novo* sequencing dataset with the database search  
214 results, we found 284 identical peptides between IP (*de novo*) and IP (UniProt), accounting  
215 for 62% of the IP (*de novo*) peptides (Fig. 3E middle, Table S7). Additionally, there were 277  
216 overlapping peptides when compared with the dataset from ORF-DB, constituting 60% of the  
217 IP (*de novo*) peptides (Fig. 3E right, Table S8). These findings suggest that errors may have  
218 occurred during peptide sequence assembly in the *de novo* process.

219 Given the significant interest in HLA-A in immunotherapies, we identified the HLA genotype  
220 of MIA PaCa-2 as HLA-A\*24:02 (Fig. S3). Through comprehensive data analysis, we  
221 focused on the most frequent peptides, specifically those with lengths of 8-12 amino acids.  
222 Using both netMHCpan4.1 and our new algorithm, we predicted their binding affinity to  
223 HLA-A\*24:02, with 9-mer peptides constituting the largest proportion (Table 1).

224 Moreover, the W6/32 antibody binds to all MHC Class I molecules (i.e., HLA-A, HLA-B,  
225 and HLA-C), which are crucial for T cell activation. Each individual inherits one set of HLA  
226 genes from each parent, leading to a unique combination of HLA alleles. Theoretically, an  
227 individual can possess up to 12 different HLA subtypes. Following predictions using  
228 netMHCpan4.1 and our new algorithm, we screened out 98 and 108 peptides, respectively  
229 (Table S9). In summary, despite bioinformatic predictions, the potential candidate pool  
230 remains extensive, highlighting the necessity for high-throughput functional testing.

### 231 **Comparison of neoantigen identification by IP-MS and MAE**

232 Given that the mild acid elution (MAE) method has been previously used for neoantigen  
233 identification (Abdollahi et al., 2024, Sturm et al., 2021), we incorporated this technique

234 alongside our current IP-MS strategy for comparison. Aligning the MAE MS results with the  
235 UniProt database, we identified 1980 peptides with lengths of 8-12 amino acids (CAA% > 0)  
236 (Table S10-1#). However, only 44 peptides overlapped between the MAE(UniProt) and  
237 IP(UniProt) datasets (Fig. S4, Table. S10-2#). Among these, 10 peptides were predicted to bind  
238 to HLA-A\*24:02 (Fig. S4A), suggesting that the MAE method can offer some insights into  
239 specific neoantigens. However, because the peptides identified by the MAE method did not  
240 undergo pMHC enrichment, they were more susceptible to contamination by non-target  
241 proteins, resulting in a total of 4575 peptides in MAE(UniProt) (Table. S10-3#,4#). This  
242 increased complexity in screening for true neoantigens ultimately leads to lower confidence in  
243 the peptides obtained via the MAE method compared to our IP-MS method.

244

#### 245 ***In vitro* validation of neoantigen functionality**

246 To validate the functionality of identified neoantigens, we selected 19 peptide sequences  
247 (Table 1, Fig. S5) compatible with the HLA-A\*24:02 allele for *in vitro* assays. These  
248 candidates were derived from the IP(ORF-DB) dataset, and their binding affinity was  
249 predicted using both netMHCpan4.1 and our new algorithm. Two peptides were classified as  
250 non-binders (NB) by both algorithms. The remaining 17 peptides, originating from the 442  
251 peptides common to IP(UniProt) and IP(ORF-DB), were predicted to bind to HLA-A\*24:02.  
252 The predicted binding levels of peptides No. 1-15 were similar between the two algorithms;  
253 however, the predicted scores for peptides No. 16-19 showed discrepancies.

254 Through this process, we screened candidate antigenic peptides with high predicted affinity  
255 for HLA-A\*24:02. To determine whether these peptides genuinely possess immunogenicity,  
256 *in vitro* validation was performed (Fig. 4A). Given that the natural TCR-neoantigen  
257 interaction elicits a mild T cell activation signal, we fine-tuned the *in vitro* experimental  
258 design to mimic physiological conditions and demonstrate specific cytotoxicity. To mimic  
259 natural T cell signaling, we stimulated peripheral blood mononuclear cells (PBMCs),  
260 containing both antigen-presenting cells (APCs) and T cells, with individual peptides. After 5  
261 days of stimulation, the PBMCs were tested using IFN- $\gamma$  ELISPOT and T cell degranulation

262 assays. To demonstrate specific cytotoxicity *in vitro* following peptide stimulation, anti-  
263 CD3/CD28 antibodies were added to activate T cells. Cytotoxicity was assessed using real-  
264 time Incucyte live imaging or bioluminescence assays, depending on the experimental needs.

265 To test our candidates *in vitro*, we first conducted a primary screening of all candidate  
266 peptides. Synthesized peptides were used to stimulate allogeneic donor's HLA-A\*24:02  
267 PBMCs, with or without anti-CD3/CD28 antibody. IFN- $\gamma$  ELISPOT and T cell cytotoxicity  
268 assays were then performed. Initially, the peptides were combined into 4-5 peptide pools for  
269 rough screening. Subsequently, reactive pools were selected for individual fine screening.  
270 Preliminary results indicated that POOL-2 (peptides No.6-10), POOL-3 (peptides No.11-15),  
271 and POOL-4 (peptides No.16-19) induced IFN- $\gamma$  secretion. Unexpectedly, POOL-1 (peptides  
272 No.1-5) failed to induce IFN- $\gamma$  secretion or PBMC cytotoxicity (Fig. 4B-4D), even though  
273 both algorithms provided strong binding predictions (Table 1). These results underscore the  
274 importance of experimental validation to confirm prediction results before developing tumor  
275 vaccines. Furthermore, the killing assay confirmed that POOL-2, POOL-3, and POOL-4  
276 induced PBMCs-mediated cytotoxicity (Fig. 4E). The target cell-specific lysis was inhibited  
277 by an HLA blocking antibody, consistent with the known ability of the W6/32 antibody to  
278 inhibit pMHC-TCR interaction(Matsushita et al., 2016, Yao et al., 2023). Next, to accurately  
279 identify the most immunogenic peptide, we stimulated PBMCs with each peptide  
280 individually, repeating the IFN- $\gamma$  ELISPOT assay and evaluating cytotoxicity. Each peptide  
281 from POOL-2, 3, and 4 was subjected to individual experiments. The results showed that  
282 peptides No. 6-15 induced IFN- $\gamma$  secretion independently (Fig. 5A-5B) and stimulated  
283 PBMCs to lyse cancer cells (Fig. 5C-5D). The T cell degranulation assay also indicated that  
284 peptides No. 6-15 activated T cells to varying degrees (Fig. 5E-5G, Fig. S6). These results  
285 generally aligned with both algorithms' predictions, although some discrepancies were noted.

### 286 **Comparison of prediction accuracy between algorithms**

287 This study employed netMHCpan4.1, an AI algorithm based on a neural network, alongside  
288 our innovative new algorithm developed by Dr. Yuzong Chen (publication forthcoming). This  
289 new algorithm is a deep learning-based predictive model integrating biological knowledge and

290 trained on quantitative measurements of peptide-MHC binding affinity(Shen et al., 2022, Shen  
291 et al., 2021). Given that both neural networks and deep learning are major algorithms for AI  
292 development, we compared their differences in Table S11. Our experimental findings suggest  
293 that the predictions made by the two algorithms show a considerable degree of agreement.  
294 However, we observed inconsistencies, particularly in the peptide predictions No. 16-19 (Fig.  
295 6A). To ascertain which algorithm yielded more accurate results, we conducted further *in vitro*  
296 evaluation experiments. The results showed that peptides predicted as Non-Binders (NB) by  
297 netMHCpan4.1, specifically No. 16, No. 17, and No. 19, stimulated immune cells to produce  
298 IFN- $\gamma$  and triggered immune cells to eliminate cancer cells. Conversely, peptide No. 18,  
299 predicted as Weak Binding (WB) by netMHCpan4.1, did not exhibit these functionalities (Fig.  
300 6B-6G). These findings indicate that our new algorithm's predictions surpass those of  
301 netMHCpan4.1 in terms of accuracy.

302

### 303 **TCR activity towards the validated neoantigen**

304 Among the neoantigens screened, an ideal candidate would be functional for developing tumor  
305 vaccines or TCR-based therapeutics. We identified peptide No. 19, derived from Survivin, a  
306 promising target with an existing clinical-phase TCR drug from AbbVie(Chervin, 2021).  
307 ABBV-184 is a TCR-CD3 engager protein drug targeting the same peptide as No. 19 in our  
308 list(Chervin et al., 2023, Peterlin et al., 2024). To evaluate the binding between the MHC  
309 complex loaded with peptide No. 19 and the TCR, as well as the consequent T cell signaling,  
310 we performed an *in vitro* T cell-specific activation assay (Fig. 7A). First, T2 cells, which  
311 express MHC molecules without any pre-loaded peptides, were loaded with FITC-conjugated  
312 peptide No. 19. Peptide loading was verified by flow cytometry (Fig. 7B). Second, we  
313 established a Jurkat NFAT-GFP reporter cell line (Fig. S7). Furthermore, we cloned the single-  
314 chain TCR sequence (scTCR) from ABBV-184 into a lentiviral-based 2nd generation CAR  
315 backbone and transduced this scTCR into the Jurkat NFAT-GFP cell line to establish a stable  
316 scTCR reporter cell line (Fig. 7C). Co-culturing peptide No. 19-loaded T2 cells with either  
317 wild-type or scTCR-stable Jurkat NFAT-GFP cells, we observed that scTCR Jurkat cells  
318 significantly boosted NFAT-GFP reporter expression upon contact with peptide No. 19-loaded  
319 T2 cells, consistent with previous reports (Fig. 7D). Finally, we harvested the co-cultured cells,

320 stained them with a T cell marker (CD3) and a T cell activation marker (CD69), and analyzed  
321 them via flow cytometry alongside the NFAT-GFP reporter (Fig. 7E-7H). These results  
322 demonstrate that peptide No. 19, identified using our platform, can react with its targeted TCR  
323 and induce a robust T cell activation signal.

324

## 325 **Discussion**

326 Neoantigen discovery and identification, particularly of tumor-specific antigens resulting  
327 from cancer cell mutations, offers significant potential for improving cancer treatment and  
328 advancing tumor immunotherapy. Given the critical role of individual genetic backgrounds in  
329 determining neoantigen effectiveness, personalized approaches to tumor vaccines are  
330 essential.

331 Detecting neoantigen expression in tumor tissues enables the screening of suitable antigens  
332 for vaccine design. This enhances therapeutic efficacy by ensuring vaccines elicit a robust  
333 immune response against the unique mutations present in an individual's tumor. Rapid  
334 neoantigen identification can improve treatment outcomes, reduce recurrence rates, and  
335 propel tumor immunotherapy forward.

336 Despite advancements in next-generation sequencing (NGS) technology, directly identifying  
337 neoantigen peptide sequences remains challenging due to the complexities of peptide splicing  
338 and post-translational modifications that NGS alone cannot resolve. To address these  
339 limitations, a novel approach has been introduced: constructing a tumor tissue-specific  
340 peptide database by translating open reading frames (ORFs) derived from RNA-seq results.  
341 This ORF-DB more accurately reflects the tumor's characteristics compared to traditional  
342 databases like UniProt, enhancing neoantigen sequence identification precision. Integrating  
343 mass spectrometry (MS) results can further aid in obtaining neoantigen sequence information  
344 and predicting their binding affinity to HLA alleles. Enrichment-based approaches  
345 significantly improve neoantigen identification accuracy, as traditional methods like mild  
346 acid direct elution (MAE) may yield lower authenticity due to potential contamination with

347 non-target proteins. A collaborative approach enriches the research process and leads to more  
348 innovative and inclusive outcomes.

349 This research aims to develop a method for the rapid and precise identification of effective  
350 neoantigens from tumor tissues. Initially, a peptide database specific to the tumor tissue was  
351 constructed by translating open reading frames (ORFs) derived from RNA-seq results on the  
352 tumor tissue. Although the traditional UniProt database (human, Swiss-Prot) contains a more  
353 significant number of proteins and can provide more peptide information, the ORF-DB  
354 developed in this study more accurately reflects the characteristics of the tumor tissue than  
355 the UniProt database. By comparing these two database search methods, information about  
356 bona fide neoantigens can be accurately obtained. *De novo* sequencing serves as a  
357 fundamental analytical function of PEAKS. However, during the experimental process, it was  
358 found that errors can occur during the assembly process due to incomplete fragmentation of  
359 peptide segments. Such errors can be rectified through a database search with ORF-DB. This  
360 approach has the potential to enhance the precision of neoantigen sequence identification.

361 The RNA-seq result indicates the presence of 1,480 mutated genes in the MIA PaCa-2 cell  
362 line. However, the neoantigens produced by these mutations were not identified, indicating  
363 that the proteins encoded by the mutated genes may not necessarily be presented by HLA.  
364 Previous studies have also indicated that the mutation burden in pancreatic cancer is  
365 relatively low, which aligns with these findings (Rojas et al., 2023).

366 After analyzing the mass spectrometry results to obtain neoantigen sequence information,  
367 these results were combined with the sequencing outcomes of HLA typing. The binding  
368 affinities of the neoantigens for HLA-A\*24:02 were predicted and scored using netMHCpan  
369 4.1 and a proprietary algorithm. The scores produced by the developed algorithm were  
370 mostly consistent with those from netMHCpan 4.1.

371 Additional studies are necessary to verify the potential applications of these neoantigens. It is  
372 important to understand their biological functions in detail. For example, *in vitro* assays have  
373 demonstrated that the representative neoantigens are immunogenic. Even some peptides are

374 predicted to have strong binding to HLA-A\*24:02 but are unable to induce an immune  
375 response in PBMCs.

376 The findings suggested that the two algorithms' predictions are almost consistent.  
377 Nevertheless, discrepancies were noted in some neoantigens. To ascertain the reliability of  
378 each algorithm's predictions, supplementary *in vitro* evaluations were carried out. These  
379 experiments showed that *in vitro* assays are more consistent with the prediction from the new  
380 algorithm. These results underscore the superior accuracy of the new algorithm compared to  
381 netMHCpan4.1 in predicting peptide binding affinity.

382 In summary, the rapid and precise identification of effective neoantigens from tumor tissues  
383 is crucial for enhancing cancer treatment and advancing tumor immunotherapy. The  
384 development of tailored tumor vaccines based on individual genetic profiles, coupled with  
385 innovative methodologies for neoantigen discovery, represents a significant stride toward  
386 personalized cancer therapies. This research not only contributes to the understanding of  
387 neoantigen biology but also lays the groundwork for future advancements in cancer  
388 immunotherapy, ultimately aiming to improve patient outcomes and reduce the burden of  
389 cancer recurrence.

390 By establishing a robust workflow for neoantigen discovery and validation, researchers can  
391 expedite the transition from identification to pre-clinical functional evaluation, marking a  
392 significant milestone in the development of effective cancer vaccines. In business research,  
393 incorporating psychological concepts like Theory of Mind (ToM) into management theories  
394 exemplifies interdisciplinary synergy, fostering more robust and versatile research  
395 frameworks. ToM enables researchers to construct arguments that are attuned to the mental  
396 states and motivations of their audience, ensuring that arguments are both persuasive and  
397 empathetic.

## 398 **Methods**

399

### 400 **Cells**

401 MIA PaCa-2: The MIA PaCa-2 cell line (American Type Culture Collection [ATCC], Manassas,  
402 VA, USA) was cultured in DMEM supplemented with 10% FBS and 1% antibiotics at 37°C in  
403 a 5% CO<sub>2</sub> atmosphere. Cells were passaged every 2-3 days at a sub-cultivation ratio of 1:3  
404 using trypsinization and were assumed to have undergone 20 passages.

405 293T: 293T cells, provided by cell stock of Shenzhen Bay Laboratory, were cultured in  
406 DMEM supplemented with 10% FBS and 1% antibiotics at 37°C in a 5% CO<sub>2</sub> atmosphere.

407 W6/32 Hybridoma: The W6/32 hybridoma cell line (ATCC, Manassas, VA, USA) was  
408 cultured in DMEM supplemented with 10% FBS and 1% antibiotics at 37°C in a 5% CO<sub>2</sub>  
409 atmosphere. Cells were maintained in triangular culture bottles and passaged every 4-5 days  
410 at a sub-cultivation ratio of 1:3. Each cell line was assumed to have undergone 5 passages.

411 T2: The T2 cell line (ATCC, Manassas, VA, USA) was cultured in RPMI 1640 supplemented  
412 with 10% FBS and 1% antibiotics at 37°C in a 5% CO<sub>2</sub> atmosphere. Cells were passaged  
413 every 2-3 days at a sub-cultivation ratio of 1:3.

414 CHO-S: CHO-S cells, provided by cell stock of Shenzhen Bay Laboratory, were cultured in  
415 DMEM supplemented with 10% FBS and 1% antibiotics at 37°C in a 5% CO<sub>2</sub> atmosphere.

416 Jurkat: Jurkat cells, provided by cell stock of Shenzhen Bay Laboratory, were cultured in  
417 RPMI 1640 supplemented with 10% FBS and 1% antibiotics at 37°C in a 5% CO<sub>2</sub>  
418 atmosphere.

419 All cell lines were preserved in liquid nitrogen using a mixture of 95% FBS and 5% DMSO.

420 hPBMC: Human peripheral blood mononuclear cells (hPBMC) were obtained from human  
421 peripheral blood provided by Taicang First People's Hospital and hPBMC isolation service  
422 provided by Milestone Biotechnologies. hPBMC were preserved in liquid nitrogen using  
423 STEMCELL Technologies' CryoStor® CS10 freezing buffer.

424 **Lentiviral Packaging**

425 HEK293T cells were utilized as packaging cells for lentivirus production via transfection.  
426 Briefly,  $1 \times 10^7$  293T cells were seeded onto 10 cm plate and cultured to 80% confluence. A  
427 mixture of 60  $\mu\text{g}$  of PEI (Polysciences, 24765-1) and 24  $\mu\text{g}$  of plasmid DNA (pSpAX2:  
428 pMD2.G: target vector at a ratio of 5:7:12) was prepared in 0.5 mL Opti-MEM  
429 (ThermoFisher, 11058021) by incubating each component separately for 5 minutes, followed  
430 by combining them for 20 minutes before transfection. Eight hours post-transfection, the  
431 medium was replaced with fresh, antibiotic-free medium supplemented with 2% FBS.  
432 Supernatants containing lentivirus were collected at 48 and 72 hours post-transfection by  
433 low-speed centrifugation and filtered through a 0.45  $\mu\text{m}$  syringe filter (Millipore,  
434 SLHVR33RB). The resulting lentivirus supernatant was either used immediately,  
435 concentrated by centrifugation at 20,000g for 2 hours, or snap-frozen in liquid nitrogen and  
436 stored at  $-80^\circ\text{C}$ .

#### 437 **Generation of MIA PaCa-2 stable cell line**

438 MIA PaCa-2 cells were seeded 24 hours before transduction. Lentivirus expressing either  
439 luciferase or mKate was diluted in DMEM supplemented with 1  $\mu\text{g}/\text{mL}$  polybrene. The existing  
440 cell culture medium was removed, and the lentivirus/polybrene mixture was directly applied to  
441 the cells, followed by incubation for 24 hours at  $37^\circ\text{C}$ . Subsequently, the medium was replaced  
442 with fresh culture medium. At 48 hours post-transduction, puromycin (1  $\mu\text{g}/\text{mL}$ ) was added to  
443 the medium for selection of successfully transduced cells. Luciferase substrate assays were  
444 used to quantify luciferase expression in the MIA PaCa-2-luciferase cell line. The expression  
445 of red fluorescent protein (mKate) in the MIA PaCa-2-mKate cell line was validated using  
446 IncuCyte imaging.

447

#### 448 **Generation of Jurkat NFAT-GFP reporter cell line**

449 NFAT-GFP lentiviral plasmid was generously provided by Dr. Chen Yu from Shenzhen Bay  
450 Laboratory. To generate a stable reporter cell line, Jurkat cells were infected with lentivirus  
451 encoding NFAT-GFP. Following lentiviral transduction, single-cell clones were sorted into U-  
452 bottom 96-well plates and cultured in RPMI 1640 supplemented with 20% FBS, 1  $\mu\text{g}/\text{mL}$   
453 puromycin, and 1% antibiotics. After clonal expansion, each clone was passaged at a 1:2 split

454 ratio. One aliquot of each clone was either untreated or treated with PMA-Ionomycin  
455 (ThermoFisher, 00-4975-03). GFP reporter signals were then evaluated via FACS analysis.  
456 Positive clones were identified, recorded, and the corresponding aliquot was selected for  
457 further experiments.

458

#### 459 **Generation of Jurkat-scTCR stable cell line**

460 The T-cell receptor (TCR) sequence of ABBV-184 that targets No.19 peptide (Chervin, 2021)  
461 was synthesized and cloned into a lentiviral vector as a single-chain TCR (scTCR) fused with  
462 a V5 tag and the transmembrane and intracellular signaling domains of a second-generation  
463 chimeric antigen receptor (CAR). Jurkat NFAT-GFP reporter cells were then transduced with  
464 the packaged lentivirus. At 48 hours post-transduction, transduction efficiency was assessed by  
465 flow cytometry (FACS) via V5 tag staining (ThermoFisher, 12-6796-42). V5-positive  
466 polyclonal cells were subsequently sorted to establish a stable cell line.

467

#### 468 **Jurkat-NFAT-GFP Activation Assay**

469 Wild-type Jurkat NFAT-GFP cells or Jurkat NFAT-GFP cells stably expressing scTCR were co-  
470 cultured with peptide-loaded T2 cells at a 1:1 ratio and incubated for 24 hours. NFAT-GFP  
471 reporter activity was then quantified using a High-Content Imaging System (Perkin Elmer,  
472 Opera Phenix Plus) and analyzed with Harmony software. Following co-culture, cells were  
473 harvested and stained with a viability dye (Tonbo Bio, 13-0870), anti-CD3 (ThermoFisher, 25-  
474 0037-42), and anti-CD69 (Biolegend, 310922) antibodies to assess T cell activation. CD69  
475 expression (a marker of T cell activation) and GFP expression (NFAT reporter activity) were  
476 quantitatively analyzed by flow cytometry.

477

#### 478 **Xenograft model**

479 Female CB17.B6-*Prkdc<sup>scid</sup>Lyst<sup>bg</sup>/Crl* mice (4-6 weeks old, from Beijing Vital River Laboratory  
480 Animal Technology Co., Ltd) were used for *in vivo* tumorigenicity studies. Each mouse  
481 received a single subcutaneous injection (200  $\mu$ L) into the right flank, consisting of  $1 \times 10^7$   
482 MIA PaCa-2 cells suspended in PBS and Matrigel. Injection sites were monitored until palpable  
483 tumors were established. Once tumors reached the predetermined size, they were excised for

484 subsequent analysis. All animal studies were conducted following the guidelines and  
485 regulations of the Regional Ethics Committee for Animal Experiments at Shenzhen Bay  
486 Laboratory. Mice were maintained under standard housing conditions with a 12-hour light/dark  
487 cycle and ad libitum access to food and water.

488

#### 489 **Production of W6/32 antibody**

490 8-10 weeks old Balb/c mice from the same consistent lineage were utilized for monoclonal  
491 antibody production. To enhance hybridoma cell engraftment, mice were pre-sensitized with  
492 an intraperitoneal injection of mineral oil one week before hybridoma cell inoculation.  
493 Subsequently,  $1 \times 10^6$  hybridoma cells were injected into the peritoneal cavity of each mouse.  
494 Approximately 7 days post-injection, ascites fluid was harvested. The W6/32 antibody was  
495 then purified from the ascites fluid using Protein A Sepharose affinity chromatography.  
496 Following elution, the buffer was exchanged for PBS via ultrafiltration.

497

#### 498 **W6/32 and Cell binding**

499 T2 and CHO-S cells were cultured in their respective optimized media. Upon reaching the  
500 desired confluency, cells were harvested and centrifuged at 300g for 5 minutes to remove the  
501 supernatant. To eliminate residual culture medium components, cells were resuspended in PBS  
502 and washed twice. The W6/32 antibody was diluted in PBS to the appropriate working  
503 concentration. The diluted W6/32 antibody was added to the cell suspension, with an isotype-  
504 matched mouse IgG (mIgG) as a negative control. The mixture was incubated at room  
505 temperature for 30 minutes, unbound primary antibody was rinsed, and secondary antibody  
506 (CST, 4410S) was then applied to incubate at room temperature in dark for an additional 30  
507 minutes. Cells were washed 2-3 times with PBS and were resuspended in PBS at a  
508 concentration of  $1 \times 10^6$  cells/mL and transferred to sample tubes for flow cytometry analysis.

509

#### 510 **Western Blot**

511 Equal amounts of protein were separated by SDS-PAGE using polyacrylamide gels with a  
512 concentration gradient ranging from 10-15%. Following electrophoresis, proteins were  
513 transferred to a PVDF membrane, which was then blocked with 5% non-fat dry milk. The

514 membrane was subsequently incubated with the following primary antibody: anti-HLA (HC10,  
515 ThermoFisher, MUB2037P) at a 1:1000 dilution. After washing, the membrane was incubated  
516 with anti-HRP-conjugated secondary antibodies (CST, 7074 or 7076) at a 1:5000 dilution.  
517 Protein bands were visualized using enhanced chemiluminescence (ECL) assays (Beyotime,  
518 P0018S). Images were cropped to display the molecular weight marker proteins (kDa).

519

### 520 **HLA Genotyping**

521 HLA genotyping of the MIA PaCa-2 cell line was performed by BGI. Genomic DNA was  
522 extracted, and HLA genes were amplified via PCR using sequence-specific primers. The  
523 amplified products were then purified and prepared for sequencing. Sequencing was conducted  
524 using the 3730xl DNA Analyzer (Applied Biosystems). The resulting sequencing data were  
525 analyzed using specialized software to determine the HLA genotype.

526

### 527 **RNA-seq and ORF translation**

528 Total RNA was extracted using RNAiso (Takara Bio, 9019). Poly(A) enrichment or ribosomal  
529 RNA depletion using specific probes was employed to remove ribosomal RNA. Strand-specific  
530 RNA-seq libraries were constructed, selecting for insert fragment sizes between 350 and 450  
531 base pairs. Sequencing was performed on the Illumina NovaSeq™ X Plus platform using  
532 paired-end 150 bp reads to generate deep sequencing data. Reads were aligned to the hg38  
533 reference genome (Gencode V38) using Hisat2 V2.2.1 with the following parameters: -rna-  
534 strandness RF -dta -no-mixed -no-unal. Transcript assembly was performed using Stringtie  
535 2.2.2 (parameter -rf) with reference annotation. Open reading frames (ORFs) and coding  
536 regions were predicted from the assembled GTF output using TransDecoder v5.7.1, and these  
537 predicted coding regions were subsequently mapped back to the genome.

538

### 539 **pMHC enrichment by immunoprecipitation**

540 For pMHC isolation, MIA PaCa-2 xenograft tumor tissue (100 mg wet weight) was minced  
541 and lysed in 500 µL of CHAPS buffer supplemented with protease inhibitors (ThermoFisher,  
542 87785). pMHC complexes were immunoprecipitated using the W6/32 antibody conjugated to  
543 Protein A/G magnetic beads (MCE, HY-K0202). Peptides were eluted with 10% acetic acid

544 and subsequently filtered using a 3 kDa molecular weight cut-off ultrafiltration device  
545 (Millipore, UFC5003) to retain MHC-I heavy chain (A2H),  $\beta$ 2M light chain, and the W6/32  
546 antibody. Peptide extracts were then desalted using C18-ZipTips (Millipore, ZTC18S) and  
547 concentrated by vacuum centrifugation. Before mass spectrometry analysis, the peptides were  
548 resuspended in 0.1% formic acid (ThermoFisher, A117-50).

549

#### 550 **Mild Acid Elution (MAE)**

551 MIA PaCa-2 Xenograft tumor sample (wet weight 50 mg) was cut into small pieces and washed  
552 three times with PBS at 200g for 10 mins centrifugation. Tumor sample was put into MAE  
553 buffer (10% acetic acid) for 1 min. The MAE buffer was filtered on a 3 kDa molecular weight  
554 cut-off ultrafiltration tube (Millipore, UFC5003) to retain MHC-I A2H heavy chain,  $\beta$ 2M light  
555 chain, and W6/32 antibody. Peptide extracts were desalted by C18-ZipTips and dried using a  
556 Speed-Vac. Before MS analysis, the peptides were resuspended in 0.1 % formic acid (FA).

557

#### 558 **LC-MS/MS analysis**

559 LC-MS/MS analysis was performed on an Easy nLC 1200 (ThermoFisher, Bremen, Germany)  
560 coupled to an Orbitrap Fusion Lumos equipped with a nanospray flex ion source  
561 (ThermoFisher, Bremen, Germany). The peptides were dissolved in water with 0.1% formic  
562 acid and separated on a commercial RP-HPLC pre-column (75  $\mu$ m $\times$ 2 cm) (ThermoFisher,  
563 164535) and RP-HPLC analytical column (75  $\mu$ m $\times$ 25 cm) (ThermoFisher, 164941), both  
564 packed with 2  $\mu$ m C18 beads. The peptides were eluted over a 90 mins segmented gradient.  
565 The Orbitrap Fusion Lumos acquired data in a data-dependent manner, alternating between  
566 full-scan MS and MS2 scans. Isolated precursor ions were sequentially fragmented in a 3 secs  
567 cycle. Dynamic exclusion was set to 30 secs, and precursors with charge states were isolated  
568 for MS/MS experiments.

569

#### 570 **MS data processing and database searching**

571 Qualitative and quantitative analysis of mass spectrometry raw data was performed using a  
572 multi-stage workflow in PEAKS Online 10 (Bioinformatics Solutions Inc., Waterloo, Canada).  
573 Initially, the data were searched against the human UniProt database. This was followed by a

574 de novo peptidome sequencing search. Precursor and fragment mass error tolerances were set  
575 to 10 ppm and 0.02 Da, respectively. Methionine oxidation (+15.9949 Da) was included as a  
576 variable modification. All results were filtered to a 1% false discovery rate (FDR). For *de novo*  
577 sequencing, only peptides with a de novo score of 70% or higher were retained for subsequent  
578 analysis.

579

### 580 **Synthetic peptides**

581 Peptides were synthesized using solid-phase peptide synthesis (SPPS) with Fmoc chemistry,  
582 proceeding from the C-terminus to the N-terminus. The synthesis employed a resin support,  
583 Fmoc-protected amino acids, and appropriate peptide condensation reagents. Following  
584 synthesis, peptides were cleaved from the resin and purified by reversed-phase chromatography  
585 on a C18 column, using a gradient elution of acetonitrile and water containing 0.05%  
586 trifluoroacetic acid. Peptide synthesis was performed using a Symphony X Polypeptide  
587 Synthesizer at the Translation Innovation Center of Shenzhen Bay Laboratory or by Genscript.  
588 Synthesized peptides were subsequently dissolved in DMSO for downstream assays.

589

### 590 **T-cell cytotoxicity**

591 Untreated 24-well plates (NEST, 702001) were coated with anti-CD3/CD28 antibodies (1  
592  $\mu\text{g}/\text{mL}$ , Biolegend, 317347/302943). Peripheral blood mononuclear cells (PBMCs), cultured  
593 in a medium consisting of 45% Click's medium, 45% RPMI 1640, 10% FBS, 1% antibiotics,  
594 and 20 Units/mL IL-2, were seeded at a density of  $1 \times 10^6$  cells per well. PBMCs were  
595 stimulated with the indicated peptide(s) or DMSO. Single peptide treatments were performed  
596 at a concentration of  $10 \mu\text{g}/\text{mL}$ . For mixed peptides treatments, a pool of 4 or 5 peptides was  
597 used at a concentration of  $2 \mu\text{g}/\text{mL}$  per peptide. An equivalent volume of DMSO was used as  
598 a negative control. Five days post-stimulation, PBMCs were harvested and co-cultured  
599 overnight with MIA PaCa-2 cells stably expressing either firefly luciferase (*fluc*) or mKate at  
600 an effector-to-target (E:T) ratio of 20:1. Target cells without any treatment served as the  
601 spontaneous death control, while target cells lysed with NP-40 lysis buffer represented the  
602 maximal killing control. Bioluminescence-based cytotoxicity was quantified using a BioTek  
603 Synergy Neo2 plate reader, and specific lysis was calculated using the following equation:

604 Specific lysis (%) =  $100 \times (\text{Spontaneous death RLU} - \text{Test RLU}) / (\text{Spontaneous death RLU} -$   
605  $\text{Maximal killing RLU})$ . In parallel, IncuCyte-based cytotoxicity was monitored in real-time by  
606 quantitative measurement of live, mKate+ tumor cells. Values were normalized to the t=0-hour  
607 measurement.

608

### 609 **ELISPOT**

610 IFN- $\gamma$  ELISPOT assays were. Peripheral blood mononuclear cells (PBMCs) were seeded at a  
611 density of  $1 \times 10^6$  cells per well. PBMCs were stimulated with the indicated peptide(s), PMA-  
612 Ionomycin (positive control), or DMSO (negative control). Five days post-stimulation,  
613 stimulated PBMCs were. IFN- $\gamma$  spots were quantified using a CTL S6 Ultra ELISPOT reader.  
614 IFN- $\gamma$  ELISPOT assays were performed using an ELISPOT kit (BD Biosciences, 551849).  
615  $1 \times 10^6$  PBMCs were seeded per well. PBMCs were treated with the indicated peptide(s), PMA-  
616 Ionomycin, or DMSO. 5 days post-treatment, stimulated PBMCs were performed using an  
617 ELISPOT kit (BD Biosciences, 551849). IFN- $\gamma$  spots were counted using CTL S6 Ultra.

618

### 619 **T cell degranulation**

620 Peripheral blood mononuclear cells (PBMCs) were seeded at a density of  $1 \times 10^6$  cells per well.  
621 PBMCs were stimulated with the indicated peptide(s), PMA-Ionomycin (positive control), or  
622 DMSO (negative control). Seven days post-stimulation, PBMCs and target cells were co-  
623 cultured. Concurrently, APC-conjugated anti-CD107a antibody (Biolegend, 301102) was  
624 added to each well at 2.5  $\mu\text{L}$  per test. After 1 hour, Monensin (ThermoFisher, 00-4505-51) was  
625 added, and co-culture was continued for an additional 5.5 hours. CD8-FITC antibody  
626 (Biolegend, 301505) was added for the last 30 minutes to facilitate T cell gating. CD107a  
627 staining was then quantified by flow cytometry.

628

### 629 **Authors' contribution**

630 **Huajian Tian** and **Guifei Li** conducted experiments, prepared figures, wrote the manuscript;  
631 **Cookson K.C. Chiu** and **E Li** provided the Mass Spectrometry service and data analysis,  
632 integrated the manuscript preparation; **Yuzong Chen** and **Ting Zhu** provided the novel

633 algorithm for neoantigen-MHC affinity and prediction; **Min Hu** and **Yanjie Wang** conducted  
634 the RNA-seq and data analysis; **Suping Wen** established the Jurkat NFAT-GFP reporter cell  
635 line; **Jiajia Li** and **Shuangxue Luo** provided the MIA PaCa-2 xenograft mice model; **Zhicheng**  
636 **Chen** and **Huimei Zeng** produced the W6/32 antibody from hybridoma cell line; **Nan Zheng**  
637 provided part of the peptide synthesis; **Jinyong Wang** provided the biosafety level II support  
638 and related data generation; **Weijun Shen** supervised the research project and administrate the  
639 operation, **Xi Kang** designed the experimental framework, directed the project, conducted  
640 result analysis, prepared figures and reviewed the manuscript.

641

## 642 **Acknowledgments**

643 We thank Dr. Stephen H.K. Wong (currently in Innoventbio) proposed this project and joined  
644 the brainstorming. We thank Dr. Haidi Yin from Biotech Center in Shenzhen Medical Academy  
645 of Research and Translation (SMART) joined the brainstorming. We thank Dr. Xuefen Huang  
646 from USC reviewed the manuscripts. We thank Dr. Aimin Hui from EnCureGen, and Dr. Junhui  
647 Chen from Peking University Shenzhen Hospital for discussing the clinical collaboration of  
648 this project. We thank Dr. Nieng Yan, Dr. Huan Tu, and Ms. Nicole KY Zhao for caring about  
649 the clinical and commercial translation of this project. This work was financially supported by  
650 the startup funding for the Center of Translational Medicine, Shenzhen Bay Laboratory, and  
651 Natural Science Foundation of Guangdong Province (2021A1515010478).

652

## 653 **Conflict of interest**

654 The authors state that the manuscript was carried out without any commercial relationships that  
655 could bring any conflict of interest. Shenzhen Bay Laboratory may still keep the potential for  
656 the clinical translation of this study.

657

658

## 659 **Figure Legend**

660

661 **Fig.1 Comparison between traditional neoantigen identification methods and the**  
662 **technical approach in this study.**

- 663 (A) Current methods for discovering tumor neoantigens.  
 664 (B) Schematic diagram of technical methods used in this research.

665

666 **Fig.2 Immunoprecipitation pMHC complex of Xenograft tumor tissue.**

- 667 (A) Identify W6/32 antibody purity by SDS-PAGE with non-reducing or reducing loading  
 668 buffer. N, non-reducing; R, reducing; FL, Full Length; HC, heavy chain; LC, light chain.  
 669 (B) Quality Controlling the binding affinity of W6/32 with MHC complex using T2 cell line or  
 670 negative controlled CHO-S cell line.  
 671 (C) Immunoprecipitant the refold pMHC complex with W6/32. All protein samples were loaded  
 672 with reduced buffer. The result was presented by Coomassie staining, right panel. HC, heavy  
 673 chain; LC, light chain. Schematic of pMHC refold in biochemical condition, left panel.  
 674 (D) Immunoprecipitant the MIA PaCa-2 Xenograft tumor lysate with W6/32. The result was  
 675 shown by Western Blot.

676

677 **Fig.3 The analysis of MS result in PEAKS Online.**

- 678 (A) Overview of data acquisition strategies, analysis approaches, and core algorithms of  
 679 PEAKS Online.  
 680 (B) An example of integrated workflow for DDA data analysis. DDA: Data-dependent  
 681 acquisition.  
 682 (C) An example of ORF-DB calibrated the error of *de novo* sequencing. The *de novo*  
 683 sequencing mistake was marked in red while the accurate peptide sequence in ORF-DB was  
 684 marked in green. ORF-DB: a peptide database of open reading frame (ORF) translations based  
 685 on RNA-seq data.  
 686 (D) Peptides length distribution and Sequence logos of IP(UniProt) and IP(ORF-DB). (E) The  
 687 peptides overlapping of IP(UniProt) vs. IP(ORF-DB), IP(UniProt) vs. IP (*de novo*) and  
 688 IP(ORF-DB) vs. IP (*de novo*); CT(UniProt)/ CT(ORF-DB)/ CT (*de novo*) was a control group  
 689 to filter the non-specific binding peptides; IP: immunoprecipitated with W6/32; CT:  
 690 immunoprecipitated with mIgG.

691

692 **Fig.4 Neoantigens POOLs activated the Immune responses and cytotoxicity.** (A) Schematic  
 693 diagram of *in vitro* functional assays of neoantigens, HLA-A\*24:02 PBMCs were used for  
 694 IFN- $\gamma$  ELISPOT and cytotoxic assays. w/wo=with or without.

- 695 (B) PBMCs stimulated by neoantigen pools were used for IFN- $\gamma$  ELISPOT detection; anti-  
 696 HLA(W6/32) was used to block T-cell recognition;  
 697 (C) Number of ELISPOTs was calculated. Student two-tailed test. Data represent mean  $\pm$  SEM  
 698 from 3 independent replicates (\*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001).  $\alpha$ HLA: anti-HLA  
 699 antibody(W6/32).  
 700 (D) Detection of neoantigen pools stimulated cytotoxic effects on target cells by using bio-  
 701 luminance assay. Student two-tailed test. Data represent mean  $\pm$  SEM from 3 independent  
 702 replicates (\*P < 0.05, \*\*P < 0.01; ns, not significant). fLuc: Firefly luciferase  
 703 (E) IncuCyte analysis the inhibitory effects of PBMCs against target cells. Anti-HLA(W6/32)  
 704 was used to block T-cell recognition. Student two-tailed test. Data represent mean  $\pm$  SEM from  
 705 3 independent replicates (\*\*\*\*P < 0.0001).  $\alpha$ HLA: anti-HLA antibody(W6/32). Red area (%)  
 706 indicates the live cells percentage.

707 **Fig.5 Screening neoantigens by measuring immune responses and tumor killing effects.**  
 708 (A) PBMCs induced by No.1-15 peptides were used for IFN- $\gamma$  ELISPOT detection.  
 709 (B) Number of ELISPOTs was calculated. Student two-tailed test. Data represent mean  $\pm$  SEM  
 710 from 3 independent replicates (\*P < 0.05, \*\*P < 0.01).  
 711 (C) Detection of cytotoxic effects on target cells induced by No.1-15 peptides via using bio-  
 712 luminescence assay. Student two-tailed test. Data represent mean  $\pm$  SEM from 3 independent  
 713 replicates (\*P < 0.05). fLuc: Firefly luciferase  
 714 (D) IncuCyte analysis of the inhibitory effects of PBMCs against target cells. Student two-  
 715 tailed test. Data represent mean  $\pm$  SEM from 3 independent replicates (\*\*\*\*P < 0.0001).; the  
 716 CT group was only without PBMCs. Peptide No.2 was a negative control. The red area (%)  
 717 indicated live cells percentage.  
 718 (E-G) CD8<sup>+</sup>T cells are activated by neoantigens *in vitro*. CD8<sup>+</sup>T cells were stimulated with  
 719 peptides or control (DMSO) and the efficacy was evaluated by T cell degranulation. CD107a  
 720 positive percentage and mean fluorescent intensity were summarized. Data represent mean  $\pm$   
 721 SEM from 3 independent replicates (\*P < 0.05, \*\*P < 0.01; ns, not significant). PMA-Iono:  
 722 PMA-Ionomycin; MFI: Mean fluorescence intensity.

723  
 724 **Fig.6 Measurement of immune responses and tumor killing effects induced by No.16-19**  
 725 **peptides.**

726 (A) Comparison of the differences in affinity prediction results for peptides between the new  
 727 algorithm and netMHCpan4.1.  
 728 (B) PBMCs induced by No.16-19 peptides were used for IFN- $\gamma$  ELISPOT assay. PMA-Iono:  
 729 PMA-Ionomycin;  
 730 (C) Number of ELISPOTs was calculated. Student two-tailed test. Data represent mean  $\pm$  SEM  
 731 from 3 independent replicates (\*P < 0.05, \*\*P < 0.01; ns, not significant).  
 732 (D) IncuCyte analysis of the inhibitory effects of PBMCs against target cells. Student two-  
 733 tailed test. Data represent mean  $\pm$  SEM from 3 independent replicates (\*\*\*\*P < 0.0001).; the  
 734 CT group was only MIA PaCa-2 without PBMCs. Peptide No.2 was a negative control.  
 735 (E-G) CD8<sup>+</sup>T cells activated by neoantigens *in vitro*. CD8<sup>+</sup>T cells were stimulated with  
 736 peptides or control (DMSO) and the efficacy was evaluated by T cell degranulation. CD107a  
 737 positive percentage and mean fluorescent intensity were summarized. MFI: Mean fluorescence  
 738 intensity. Data represent mean  $\pm$  SEM from 3 independent replicates (\*P < 0.05, \*\*P < 0.01;  
 739 ns, not significant).

740  
 741 **Fig.7 No.19 peptide loaded pMHC triggers T cell activation via the specific TCR**  
 742 **interaction**

743 (A) Schematic of scTCR Jurkat-NFAT-GFP activated upon binding with T2 loaded with No.19  
 744 peptide.  
 745 (B) 10  $\mu$ g/mL FITC conjugated No.19 Peptide was loaded onto empty T2 cells for 2 hours at  
 746 37°C, T2 cells were then rinsed twice and checked via FACS and controlled by T2 cells without  
 747 any peptide loading.  
 748 (C) Jurkat NFAT-GFP were stably transduced with the lentivirus containing scTCR-V5-BBZ.  
 749 The positive transduced cells were polyclonal sorted by Flow cytometer. The sorted cells were  
 750 maintained and the scTCR expression was checked before co-culture.

751 (D) 24 hours post of the co-culture of No.19 peptide loaded T2 cells and the Jurkat NFAT-GFP  
752 cells. High-content imaging analysis at 10× magnification was applied to evaluate the NFAT-  
753 GFP reporter signals (Left). Besides, the Mean fluorescence intensity (MFI) of GFP (right) was  
754 quantified by the imaging software. Data represent mean ± SEM from 3 independent replicates  
755 (\*P < 0.05).

756 (E-H) After High-content imaging analysis, the co-culture cells were harvested and stained  
757 with viability dye, CD3, and CD69 antibodies. Flow cytometry was applied to compare the  
758 NFAT-GFP reporter signals (E-F) as well as the CD69 expression stands for the T cell  
759 activation(G-H). Data represent mean ± SEM from 3 independent replicates (\*\*P < 0.01, \*\*\*P  
760 < 0.001).

761

## 762 **Supplementary figure legend**

763

### 764 **Supplementary Fig.1 A2H and β2M refold to pMHC.**

765 (A) Schematic of pMHC Tetramer produced.

766 (B) Identify A2H and β2M expression by SDS-PAGE. All protein samples were loaded with  
767 reduced buffer. The arrow was directed at the band of A2H and β2M

768 (C) Purification of pMHC by AKTA. The arrow was directed at the peak of pMHC

769 (D) Peaks collected from AKTA purification were detected by SDS-page

770 (E) Purification of Peaks-2 detected by AKTA

771 (F) Detection of pMHC-tetramer binding to scTCR Jurkat by flow cytometry. pMHC contained  
772 a No. 19 peptide.

773

### 774 **Supplementary Fig.2 Neoantigens-related genes expression level and ORF-DB 775 establishment.**

776 (A) Heatmap showing the expression levels ( $\log_{10}(\text{TPM}+1)$ ) of genes associated with  
777 neoantigens.

778 (B) The frequency of various assembled transcript lengths.

779 (C) The distribution of different types of assembled transcripts.

780 (D) The distribution of transcript structures, categorized into 5' uORFs, 3' dORFs, lncRNAs,  
781 and CDS, based on their relative abundance in the dataset. ORF: Open-reading frame; CDS:  
782 Coding sequence.

### 783 **Supplementary Fig.3 MIA PaCa-2 HLA typing sequencing result.**

784

### 785 **Supplementary Fig.4 LC-MS/MS result of neoantigens discovery by MAE method.**

786 (A) Comparison of peptide sequences between MAE and IP. MAE: Mild acid elution, IP:  
787 Immunoprecipitation.

788 (B) False discovery rate (FDR) curve. X axis was the number of peptide-spectrum matches  
789 (PSM) being kept. Y axis was the corresponding FDR.

790 (C) Distribution of precursor mass error of filtered PSM in ppm (Parts per million).

791 (D) Histogram of peptide ΔRT. RT: Retention time.

792 (E) Scatterplot of peptide RT versus Predicted RT.

793

794 **Supplementary Fig.5 Mass-spec of 19 peptides selected from IP (ORF-DB)**

795

796 **Supplementary Fig.6 Gating Strategy of T cell degranulation assay**

797

798 **Supplementary Fig.7 Jurkat NFAT-GFP single cell clones screening**

799

800

Abbreviation	Full name
NHL	Non-Hodgkin lymphoma
MM	Multiple myeloma
TIL	Tumor-infiltrating lymphocyte
TCR	T-cell receptor
DCs	Dendritic cells
HLA	Human leukocyte antigen
IP-MS	Immunoprecipitation followed by mass spectrometry
TAAAs	Tumor associated antigens
ADCC	Antibody dependent cellular cytotoxicity
TSAs	Tumor specific antigens
MHC	Major histocompatibility complex
pMHC	The peptide and MHC complex
NGS	Next generation sequencing
WES	Whole-exome sequencing
ATCC	American tissue cell culture
DMEM	Dulbecco's Modified Eagle's medium
DMSO	Dimethyl sulfoxide
FA	Formic acid
RLU	Relative light unit
FDR	False discovery rate
PBMCs	Peripheral blood mononuclear cells
ORF	Open reading frame
CDS	Coding sequence
DDA	Data-dependent acquisition
MAE	Mild acid elution
CAA	Confident amino acid
fLuc	Firefly luciferase
MFI	Mean fluorescence intensity
PMA	Phorbol myristate acetate
SA-PE	Streptavidin-PE
PSM	Peptide-spectrum matches
PPM	Parts per million

RT

Retention Time

801

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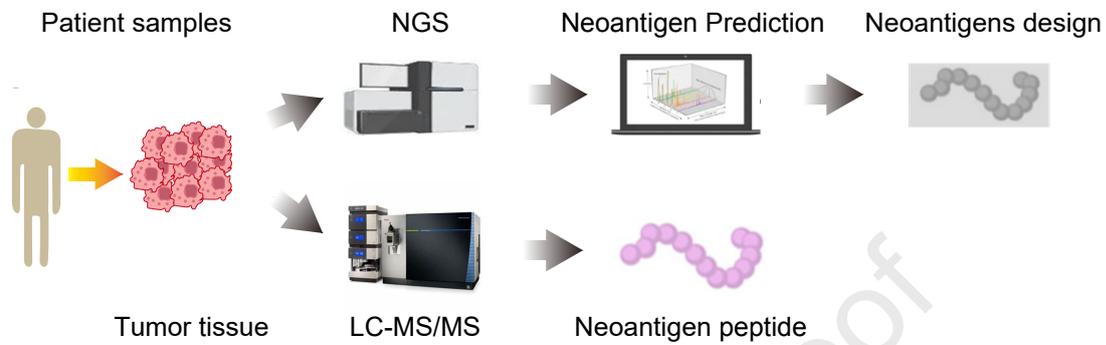
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Table.1 Affinity prediction analysis of netMHCpan4.1 and New algorithm

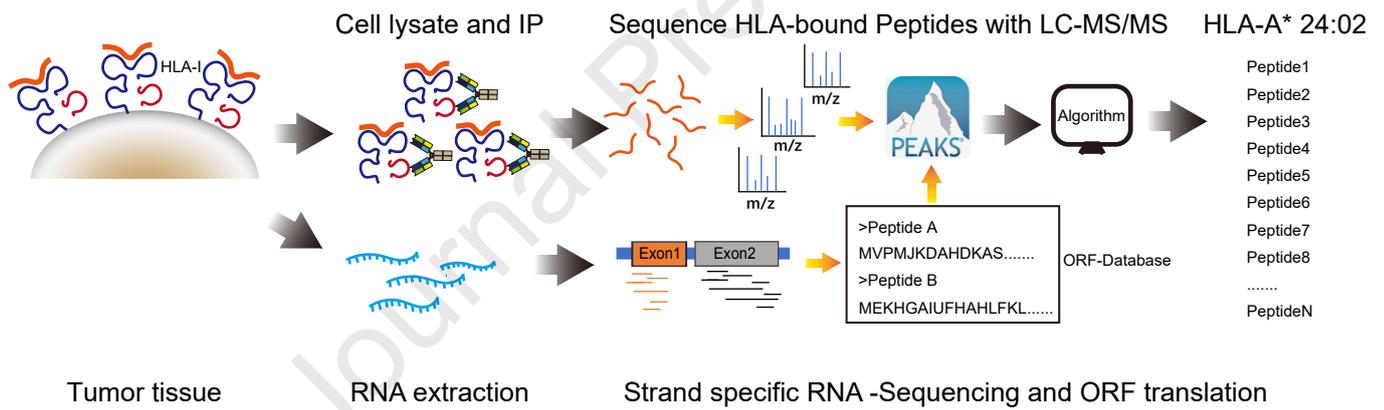
Peptide No.	Peptide	CAA (%)	Length	HLA	netMHCpan4.1 Predicted affinity (nM)	Bind Level	New algorithm Predicted affinity (nM)	Bind Level
1	EYPDRIMNTF	50	10	A*24:02	106.24	SB	157.59	SB
2	FEGFPDKQPR	80	10	A*24:02	42011.50	NB	33321.40	NB
3	LYADVGGKQF	100	10	A*24:02	241.58	SB	315.21	SB
4	RYFDPANGKF	80	10	A*24:02	52.65	SB	307.32	SB
5	YDESGPSIVH	70	10	A*24:02	45240.08	NB	31383.19	NB
6	AYVHMVTHF	44.4	9	A*24:02	24.67	SB	37.85	SB
7	EYNSDLHQF	77.8	9	A*24:02	119.28	SB	331.30	SB
8	KFIDTTSKF	100	9	A*24:02	70.39	SB	1263.09	WB
9	KYISGPHEL	88.9	9	A*24:02	51.21	SB	489.38	SB
10	RYIDTHNRV	66.7	9	A*24:02	102.93	SB	1985.71	WB
11	TYGEIFEKF	77.8	9	A*24:02	6.46	SB	84.16	SB
12	VYIKHPVSL	100	9	A*24:02	45.15	SB	107.29	SB
13	VYISEHEHF	77.8	9	A*24:02	10.33	SB	102.06	SB
14	VYPDGI RHI	55.6	9	A*24:02	131.16	SB	391.65	SB
15	KYT P P P H I	66.7	9	A*24:02	87.68	SB	865.07	SB
16	KYT P D A M L H	77.8	9	A*24:02	20306.50	NB	3011.98	WB
17	S G P E R I L S I	66.7	9	A*24:02	7074.60	NB	4970.61	WB
18	S F V D T R T L L	66.7	9	A*24:02	3106.86	WB	14600.04	NB
19	L T L G E F L K L	88.9	9	A*24:02	7160.54	NB	5263.05	WB

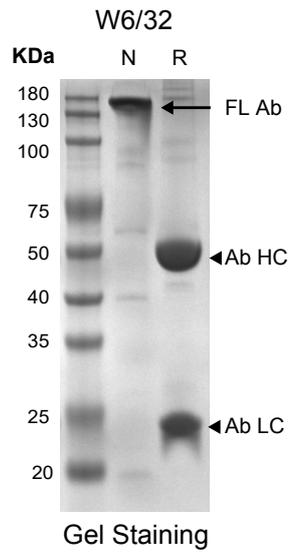
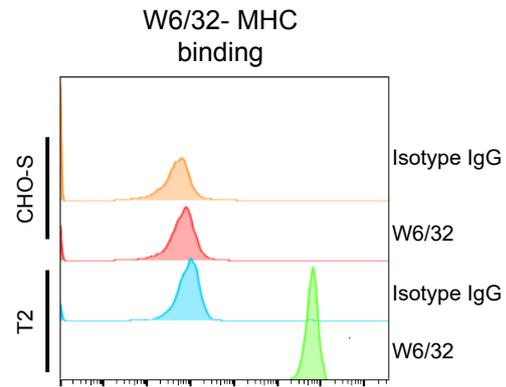
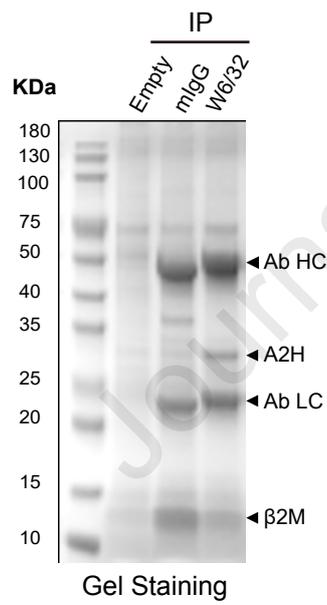
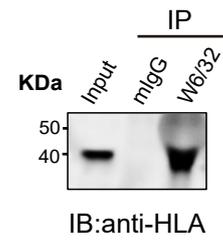
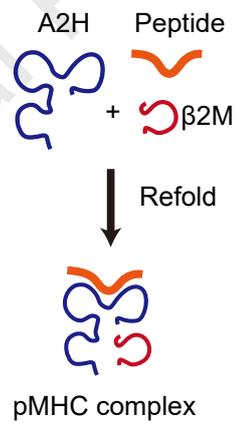
WB=weak binding; SB-strong binding; NB=no binding

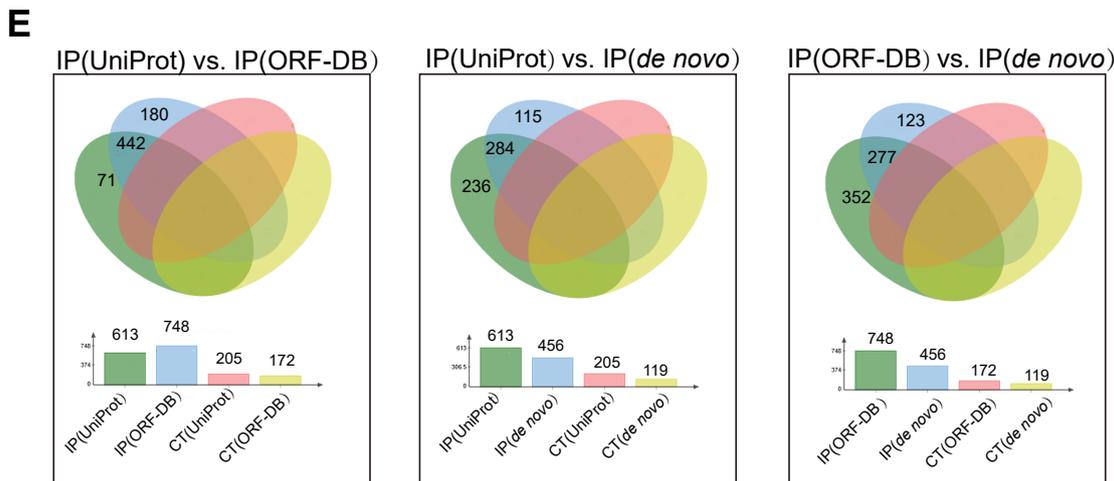
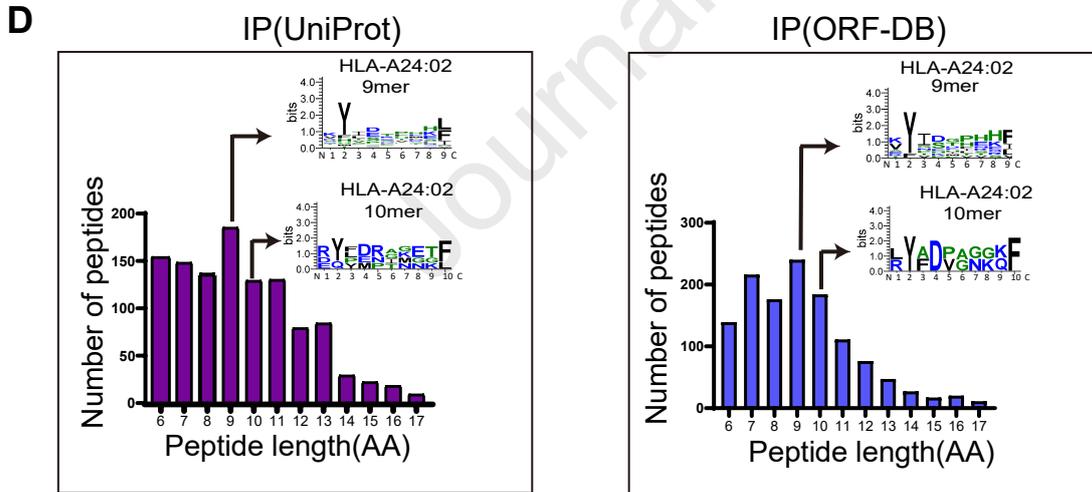
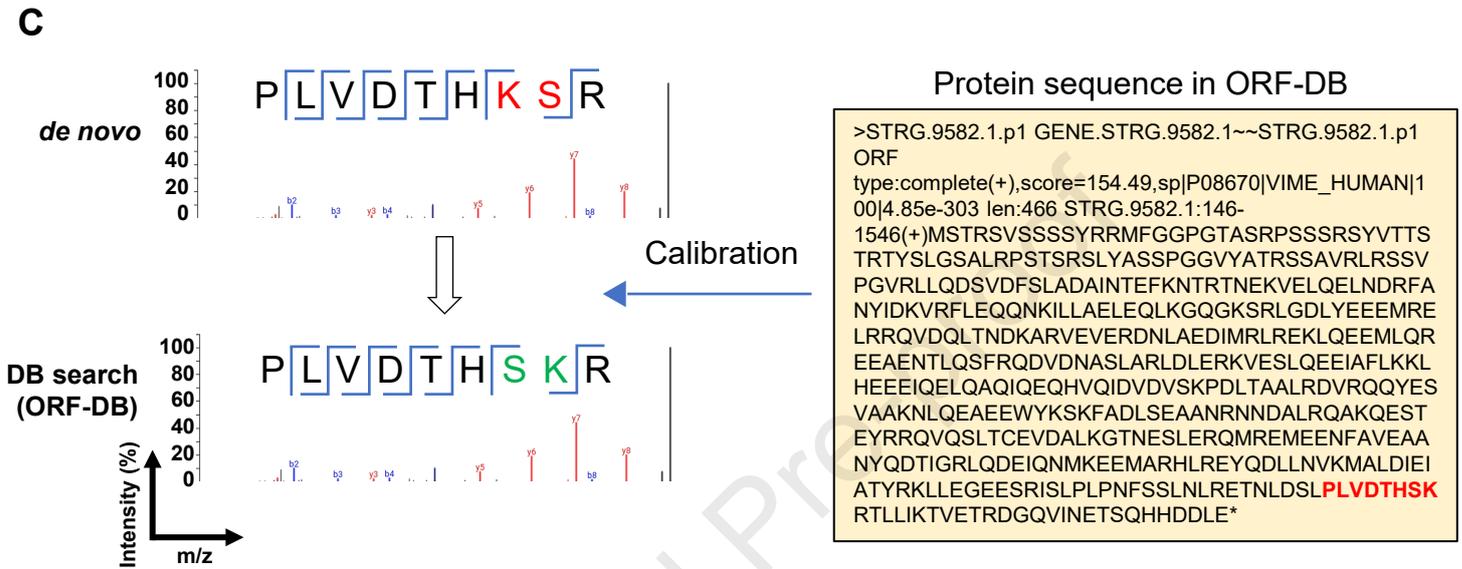
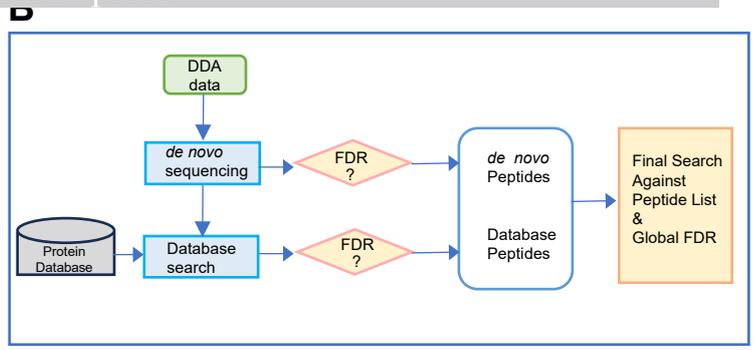
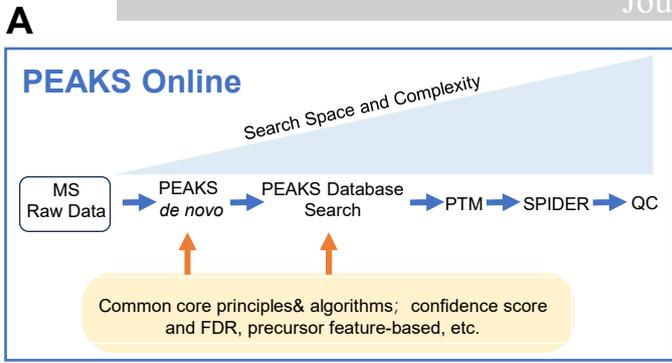
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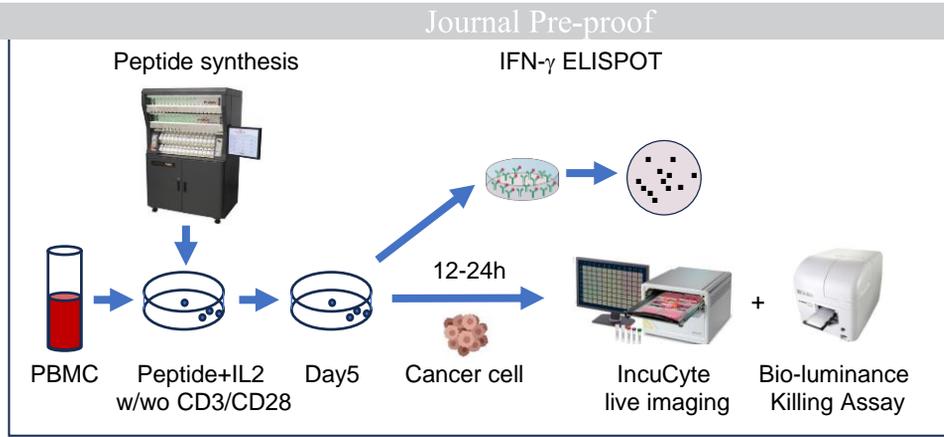
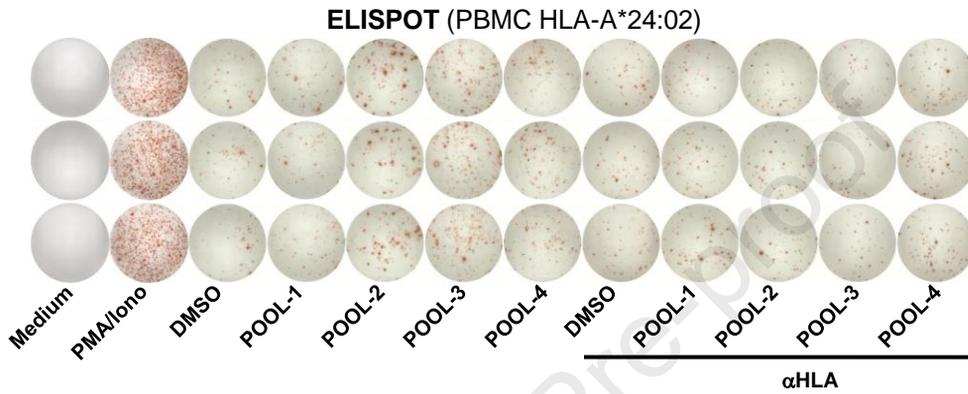
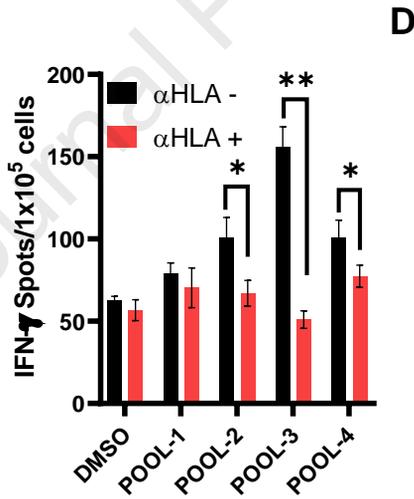
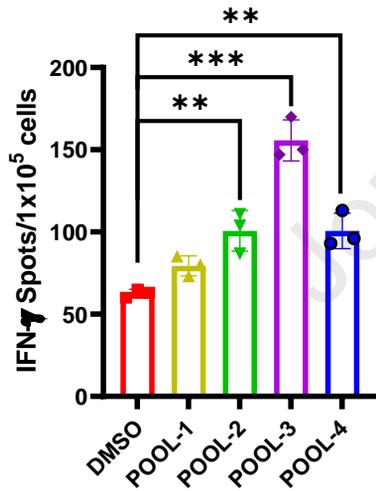
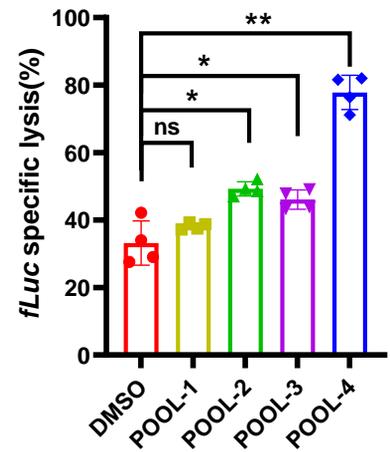
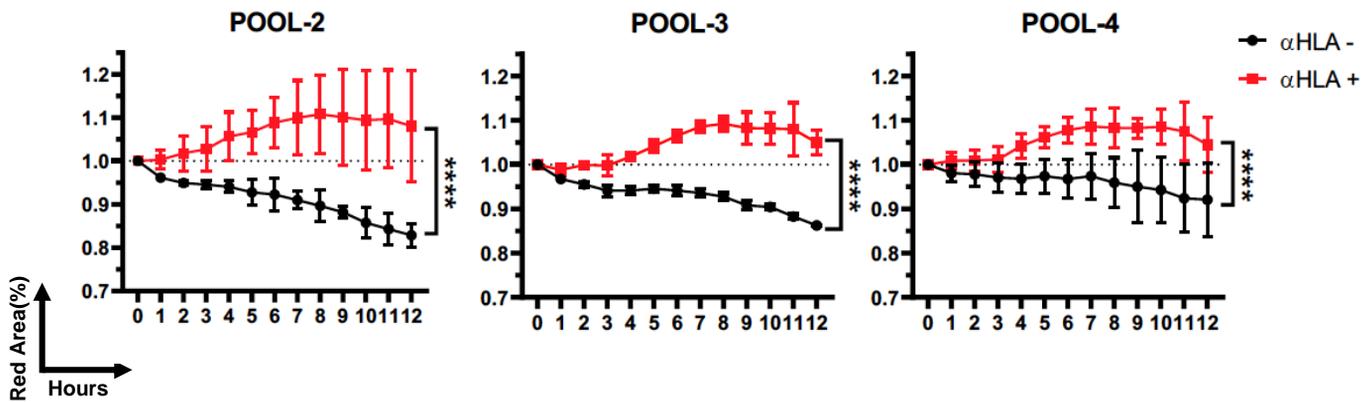


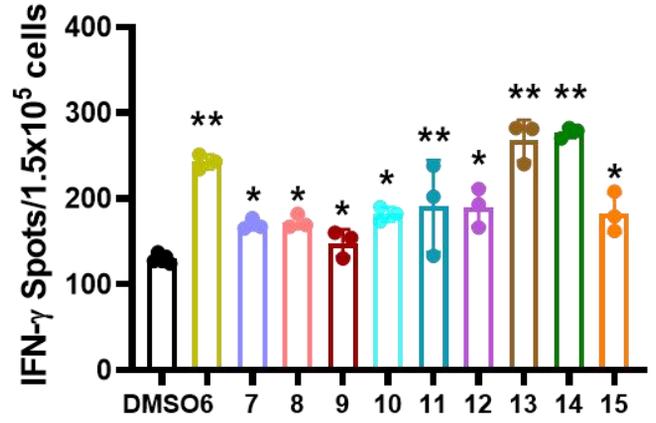
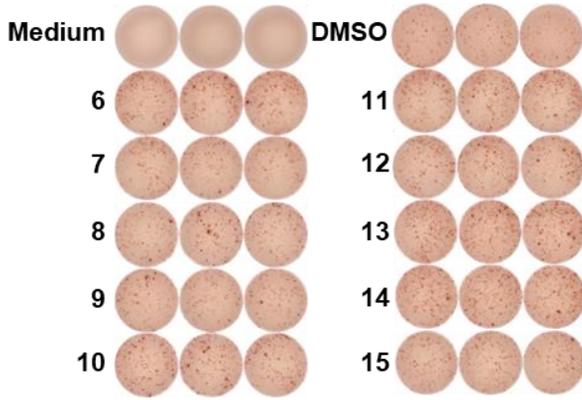
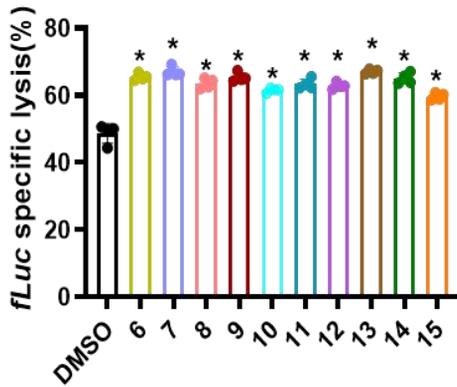
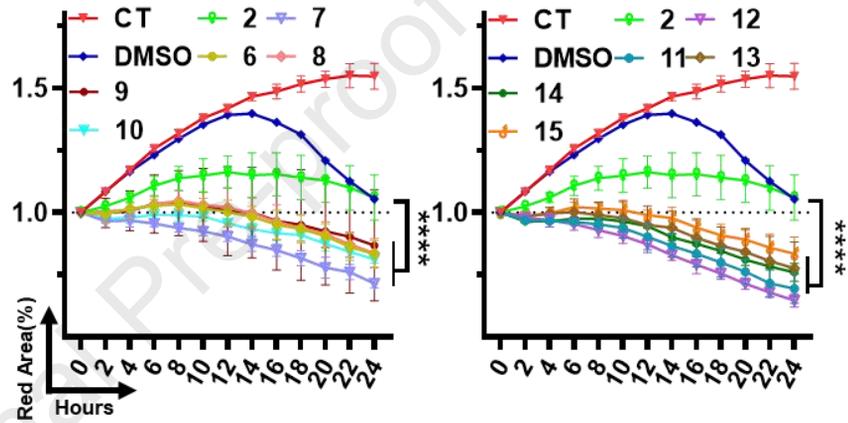
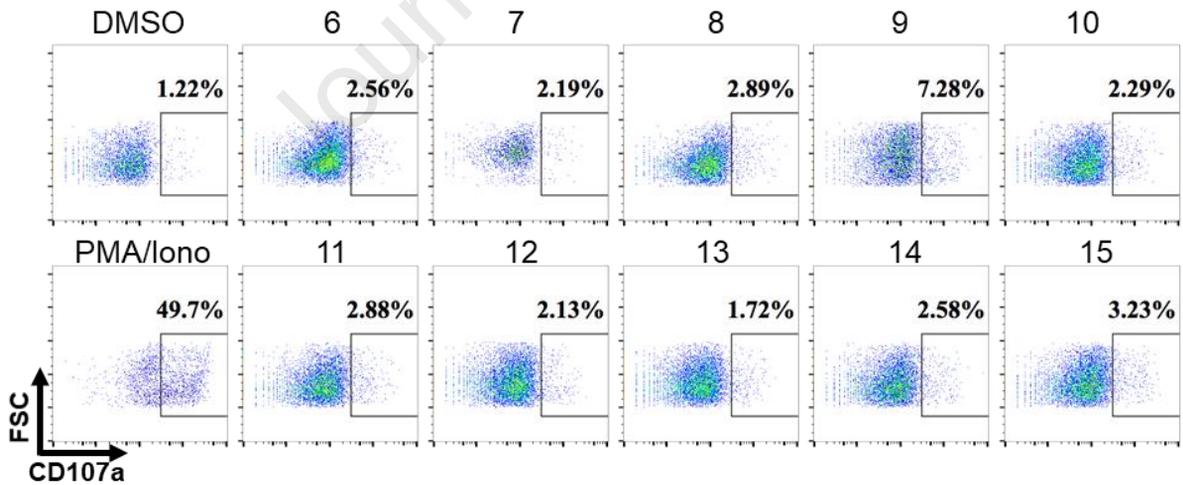
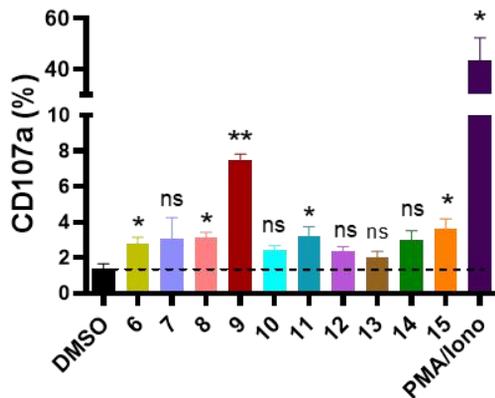
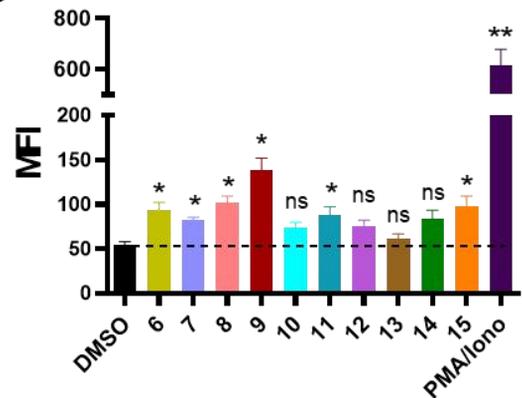
B



**A****B****C****D**



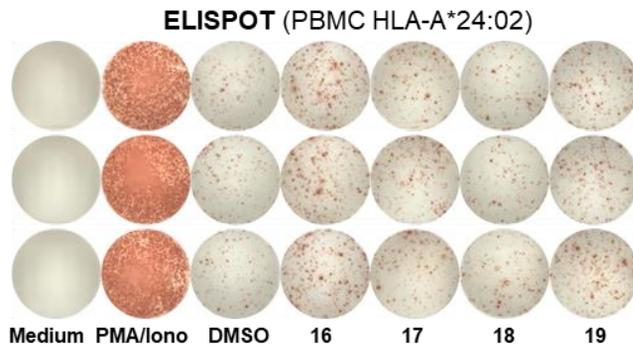
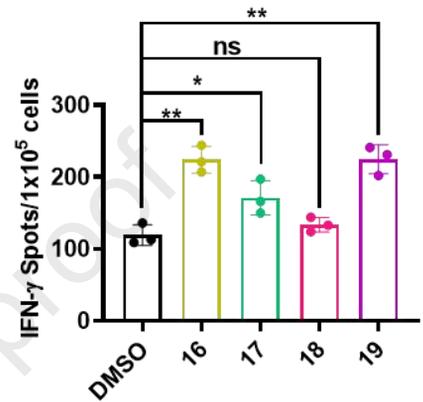
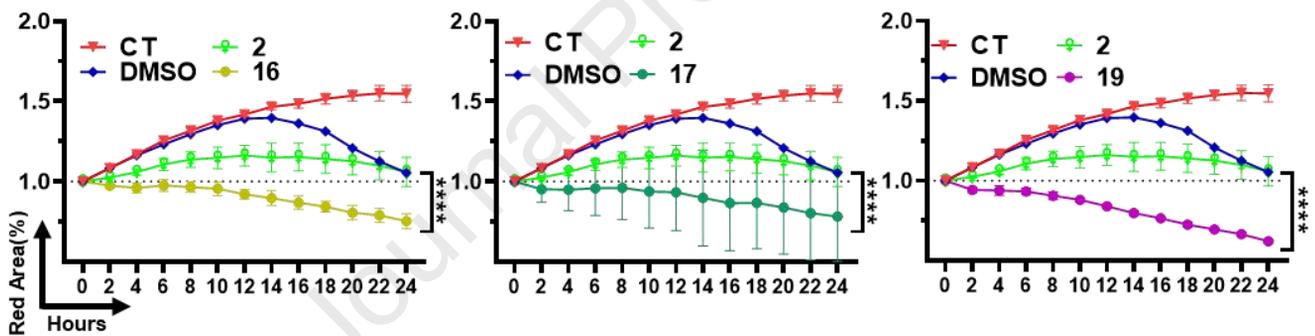
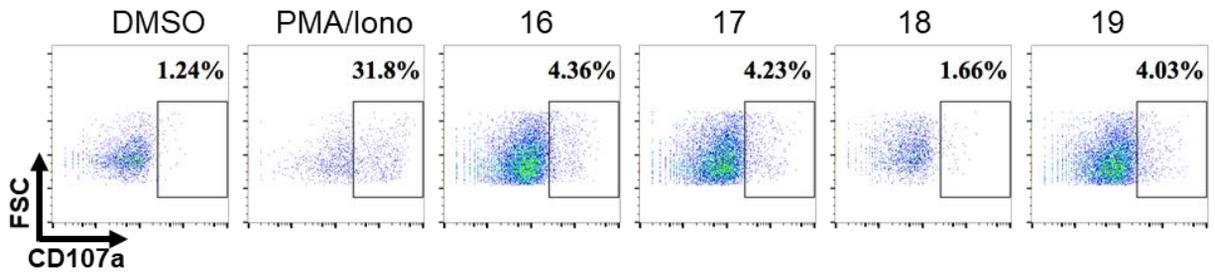
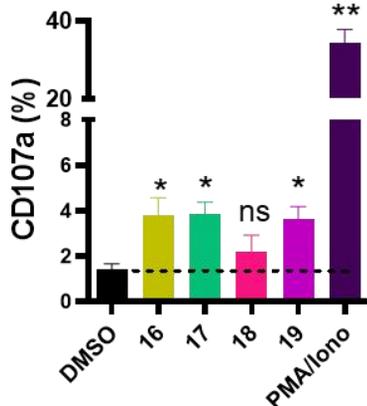
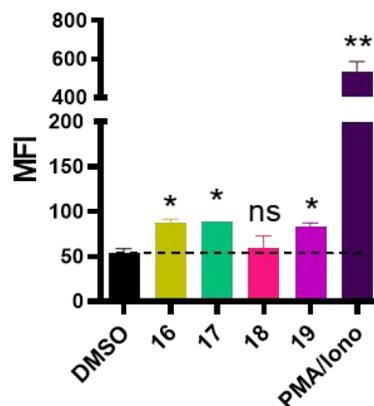
**Fig. 4****A****B****C****D****E**

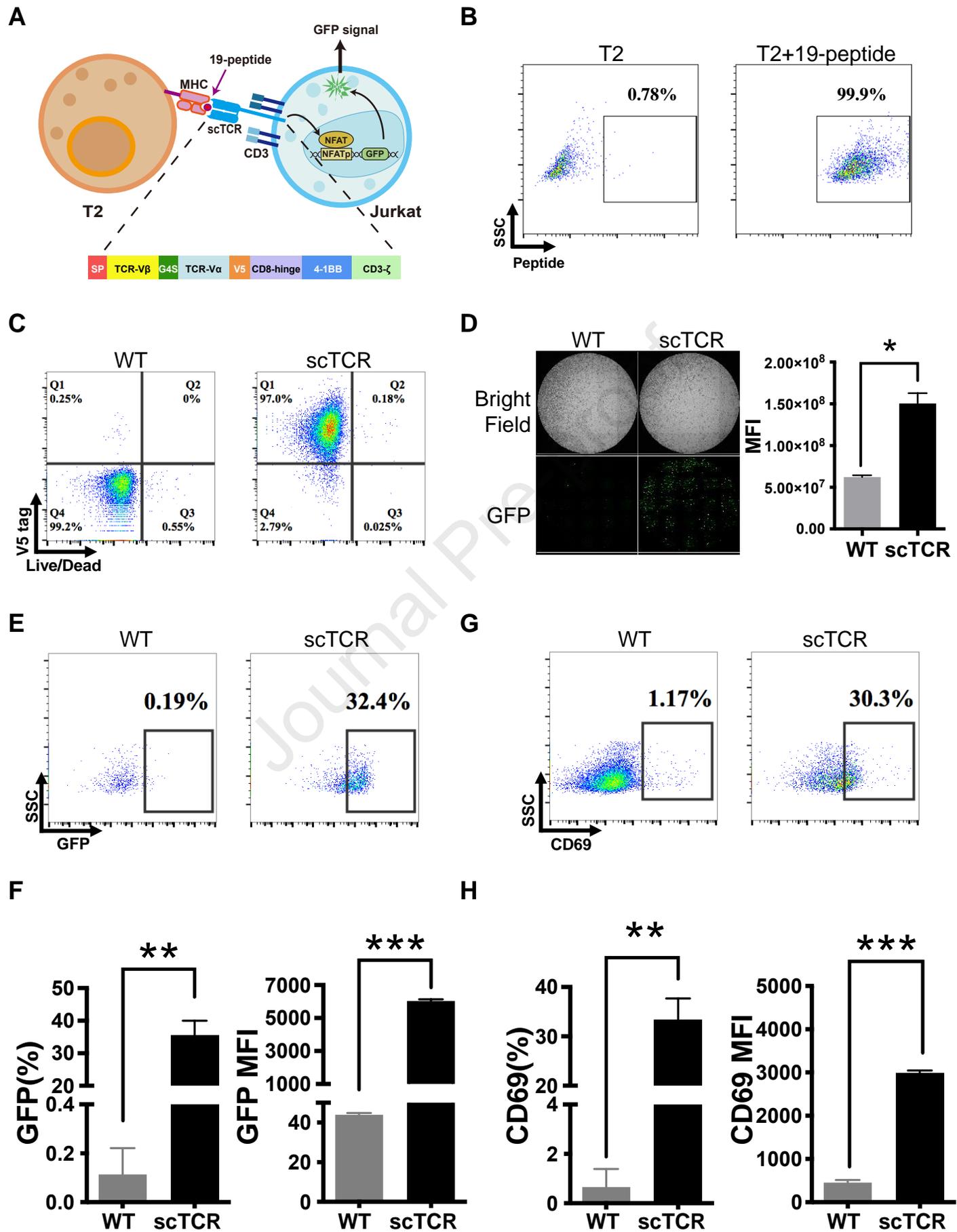
**Fig. 5****A****ELISPOT (PBMC HLA-A\*24:02)****C****D****E****F****G**

**Fig. 6****A**

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Peptide No.	Peptide	CAA (%)	Length	HLA	netMHCpan4.1 Predicted affinity (nM)	Bind Level	New algorithm Predicted affinity (nM)	Bind Level	<i>In vitro</i> Efficacy
16	KYTPDAMLH	77.8	9	A*24:02	20306.50	NB	3011.98	WB	Yes
17	SGPERILSI	66.7	9	A*24:02	7074.60	NB	4970.61	WB	Yes
18	SFVDTRTLL	66.7	9	A*24:02	3106.86	WB	14600.04	NB	No
19	LTLGFLKL	88.9	9	A*24:02	7160.54	NB	5263.06	WB	Yes

**B****C****D****E****F****G**



- IP-MS directly identifies *bona fide* personalized neoantigen peptides
- RNA-seq calibrates the MS data to avoid errors during amino acid de novo sequencing
- A new algorithm prediction is better than netMHCpan4.1
- Rapid in vitro validation ensures neoantigen candidates are functional for tumor vaccine development

## Conflict of Interest Statement

Manuscript Title: **Rapid and direct discovery of functional tumor specific neoantigens by high resolution mass spectrometry and novel algorithm prediction**

The authors declare that there are no conflicts of interest related to this manuscript.

Xi Kang

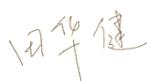
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11.06.2024